PATENT

Serial No.: 10/723,648 (DE258-67262/DP-308340)

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

| Applicant(s): | Allan R. Wells et al. | Examiner: A. Martin |
|---------------|---|-----------------------|
| Serial No.: | 10/723,648 | Art Unit: 1795 |
| Filed: | November 26, 2003) | Confirmation No. 8779 |
| For: | PEM FUEL CELL ASSEMBLY FORMED OF MODULAR SUB-ASSEMBLIES) | |

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 C.F.R. 1.8)

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Fee Transmittal (1 page)

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November 24, 2008 Date

Lydia A. Ginther

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper

or fee)

Date November 24, 2008

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| Registration No. | Effective on 12/08/2004. | | | Complete if Known | | | | |
|--|---|---|--------------------|---------------------|--------------------|------------------|-------------------|--------------------------|
| Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (\$) 180.00 | · | | | | Application Nu | mber 10/7 | 23,648 | MILE |
| Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT (S) 180.00 METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): Deposit Account Number, 50.4635 Deposit Account Number, 50.4 | FEE | IKAN | 12M | IIIAL | Filing Date | 11/2 | 6/2003 | / 3000 B |
| And Unit 1795 Attorney Docket No. DE258-67262/DP-308340 | | For FY | 2009 | | First Named In | ventor Allai | n R. Wells | MA 5 9 5000 |
| METHOD OF PAYMENT (check all that apply) Check | Applicant ele | ima amali antitu s | tatus Saa | 27 CED 1 27 | Examiner Nam | ne A. M | 1artin | 13 |
| Check | Applicant cla | inis sinan enuty s | 1 | | Art Unit | | | TOTAL |
| Check Credit Card Money Order None Other (please identify): Deposit Account Deposit Account Number, 50-4635 Deposit Account Number, 60-4635 Deposit Number, 60-4635 Deposit Account Number, 60-4635 Deposit Number, 60-4635 | TOTAL AMOUNT | OF PAYMENT | (\$) | 180.00 | Attorney Dock | et No. DE2 | 58-67262/D | P-308340 |
| Deposit Account Deposit Account Number_50_4635 Deposit Account Namber_50_4635 Deposit Namber_50_4635 Depo | METHOD OF P | AYMENT (chec | k all that a | pply) | | | | |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below | Check | Check Credit Card Money Order None Other (please identify): | | | | | | |
| For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below | Deposit Acc | count Deposit Ac | count Numbe | r: 50-4635 | Deposit / | Account Name: | Woods Ovi | att Gilman |
| Charge any additional fee(s) or underpayments of fee(s) | | | | | ereby authorized t | o: (check all th | nat apply) | |
| Warnings: Information and submirization on PTO-2038. Fee Characteristics Fee Chara | ✓ Cha | rge fee(s) indicate | ed below | | Char | ge fee(s) indic | ated below, e | xcept for the filing fee |
| WARNING: Information and submirization on PTO-2038. Fee Calculation | Cha | rge any additiona | ıl fee(s) or u | nderpayments of fo | ee(s) Cred | lit anv overnav | ments | |
| Information and authorization on PTO-2038. | und: | er 37 CFR 1 16 a | nd 1.17 | | ۰۰۰۰۰ کیا | | | Provide credit card |
| Application Type | information and aut | horization on PTO | -2038. | | | | | |
| Filling FEES Small Entity Fee (\$) Fee | FEE CALCULA | TION | | | | | | |
| Name | 1. BASIC FILIN | | | | | | T:01: 5550 | |
| Utility 330 165 540 270 220 110 | | FILI | | | | | | |
| Design 220 110 100 50 140 70 | Application T | ype Fee | | | | <u>Fee (\$)</u> | | Fees Paid (\$) |
| Plant 220 110 330 165 170 85 | Utility | 330 | 165 | 540 | 270 | 220 | 110 | |
| Reissue 330 165 540 270 650 325 Provisional 220 110 0 0 0 0 0 2. EXCESS CLAIM FEES Fee Description Each claim over 20 (including Reissues) Each independent claim over 3 (including Reissues) Multiple dependent claims Total Claims Total Claims Extra Claims Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. Indep. Claims Total Claims Extra Claims Extra Claims Fee (\$) Fee Paid (\$) HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) Fee Paid (\$) Fee Paid (\$) Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) Fee Paid (\$) Fee Paid (\$) Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) Fee Paid (\$) Fee Paid (\$) Total Sheets Fee Paid (\$) Registration No | Design | 220 | 110 | 100 | 50 | 140 | 70 | |
| Provisional 220 110 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 | Plant | 220 | 110 | 330 | 165 | 170 | 85 | |
| 2. EXCESS CLAIM FEES Fee Description Each claim over 20 (including Reissues) Each independent claim over 3 (including Reissues) Each independent claim over 3 (including Reissues) Multiple dependent claims Total Claims - 20 or HP = | Reissue | 330 | 165 | 540 | 270 | 650 | 325 | |
| Fee Description Fee (\$) Fee (\$) Fee (\$) | Provisional | 220 | 110 | 0 | 0 | 0 | 0 | |
| Each claim over 20 (including Reissues) Each independent claim over 3 (including Reissues) Multiple dependent claims Total Claims Extra Claims - 20 or HP = x = Multiple Dependent Claims HP = highest number of total claims paid for, if greater than 20. Indep. Claims Extra Claims Fee (\$) Fee Paid (\$) HP = highest number of independent claims paid for, if greater than 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof - 100 = /50 = (round up to a whole number) x = Fee Paid (\$) | | | | | | | Foo (\$) | |
| Each independent claim over 3 (including Reissues) Each independent claims Multiple dependent claims Total Claims Extra Claims Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20. Indep. Claims - 3 or HP = | | | na Reissue | e) | | | | |
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| Total Claims - 20 or HP = | | | (| , | | | 390 | 195 |
| HP = highest number of total claims paid for, if greater than 20. Indep. Claims - 3 or HP = x = x = HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof - 100 = /50 = (round up to a whole number) x = Fee Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): Submission of Information Disclosure Statement Registration No. 1 Telephone (see 2012 of 2012 | • | | <u>Claims</u> | Fee (\$) Fe | e Paid (\$) | | <u>Multiple D</u> | ependent Claims |
| Indep. Claims -3 or HP = x = x = = HP = highest number of independent claims paid for, if greater than 3. 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof -100 = /50 = (round up to a whole number) x = 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): Submission of Information Disclosure Statement Registration No. 2002 Telephone (see 2002) and 1002 Telephone | | | | = | | | Fee (\$) | Fee Paid (\$) |
| APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets | Indep. Claims | Extra | <u>Claims</u> | Fee (\$) Fe | e Paid (\$) | | | |
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| listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets | 3 APPLICATION SIZE FEE | | | | | | | |
| sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets | If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer | | | | | | | |
| Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee (\$) - 100 = | | | | | | | | |
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| Non-English Specification, \$130 fee (no small entity discount) Other (e.g., late filing surcharge): Submission of Information Disclosure Statement Registration No | | | | | | | | |
| SUBMITTED BY Registration No. | Non-English Specification, \$130 fee (no small entity discount) | | | | | | | |
| Registration No. | Other (e.g., l | ate filing surch | arge): <u>Subr</u> | nission of Informat | ion Disclosure St | atement | | 180.00 |
| Registration No. | SUBMITTED BY O | | | | | | | |
| | Signature | (h : () | | | Registration No. | 46,653 | Telepho | one (585) 987-2800 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name (Print/Type) Dennis B. Danella, Esq.

NOV 2 6 2008

under 37 CFR 1.52 or 1.53

Th

PTO/SB/21 (10-08) Approved for use through 11/30/2008. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE, of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 10/723.648 TRADEAL TRANSMITTAL Filing Date 11/26/2003 First Named Inventor **FORM** Allan R. Wells Art Unit 1795 **Examiner Name** A. Martin (to be used for all correspondence after initial filing) Attorney Docket Number DE258-67262/DP-308340 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC ✓ Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): Certificate of Mailing by First Class Mail for Request for Refund Express Abandonment Request the above: Return Receipt Postcards (2). CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts

| CERTIFICATE OF TRANSMISSION/MAILING | | | | |
|-------------------------------------|-------------------------|----------|--------|--|
| Date | November 24, 2008 | Reg. No. | 46,653 | |
| Printed name | Dennis B. Danella, Esq. | | | |
| Signature | Danis Oak | | | |
| rim Name | Woods Oviatt Gilman LLP | | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

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Typed or printed name

Lydia A. Ginther

Date November 24, 2008

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.





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| Applicant(s): | Allan R. Wells et al. | Examiner: A. Martin |
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| Filed: | November 26, 2003 | Confirmation No. 8779 |
| For: | PEM FUEL CELL ASSEMBLY FORMED OF MODULAR SUB-ASSEMBLIES | · · · |

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 C.F.R. 1.8)

MAIL STOP AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

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Information Disclosure Statement and Form PTO/SB/08a (2 pages)

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November 24, 2008 Date

Lydia A. Ginther

(Typed or printed name of person mailing paper or fee)

Signature of person mailing paper

or fee)



UNITED STATES PATENT & TRADEMARK OFFICE

| Applicant(s): | Allan R. Wells et al. | Examiner: A. Martin |
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INFORMATION DISCLOSURE STATEMENT

Mailstop Amendment

Commissioner for Patents P O. Box 1450 Alexandria VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1,98, Applicants hereby bring to the attention of the United States Patent and Trademark Office the references listed on the attached Form PTO/SB/08a.

The Commissioner is hereby authorized to charge the \$180.00 fee required under 37 C.F.R. §§ 1.97(c) and 1.17(p), and any other fee that may have been overlooked, to Deposit Account No. 50-4635.

11/24/2008

Date

Dennis B. Danella, Esq. Registration No. 46,653

Respectfully submitted

WOODS OVIATT GILMAN LLP

700 Crossroads Building 2 State Street Rochester, NY 14614

Telephone: (585) 987-2800 Facsimile: (585) 454-3968